PATENT

Attorney Docket No.: 36992.00106





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:

Richard W. Friesen, et al.

Examiner:

Kyle, Charles R.

Serial No:

09/289,550

Art Unit:

3624

Filed:

April 9, 1999

For:

USER INTERFACE FOR AN

ELECTRONIC TRADING SYSTEM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CROWN START SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98 WITH FEE

Sirs:

In accordance with the duty of disclosure under 37 CFR §1.56 and pursuant to 37 CFR §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the enclosed Form PTO-1449. One copy of each cited reference is submitted herewith.

The present Supplemental Information Disclosure Statement is being submitted after receiving the first office action but before an action closing prosecution on the merits. Accordingly, please charge Deposit Account No. 05-0150 in the amount of \$180.00 as specified in 37 CFR §1.97(c) and 37 CFR §1.17(p).

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a

Attorney Docket No.: 36992.00106

competent reference against the claims of the present application. The submission of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. 05-0150.

Date: 12/(2/03

Respectfully submitted, Richard W. Friesen, et al.

SQUIRE, SANDERS & DEMPSEY L.L.P. 600 Hansen Way Palo Alto, CA 94304-1043

Telephone (650) 856-6500 Facsimile (650) 843-8777

Marc A. Sockol

Attorney for Applicants.

Reg. No. 40,823

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

..., ...

. 12/12/ema

Sandy Vi

PaloAlto/62430.1